| FORM PTO-139 (REV. 10-2003) | | MERCE PATENT AND TRADEMARK OFFICE | ATTORNEY 'S DOCKET NUMBER | | | | | | | | |
|---|---|--|---|--|--|--|--|--|--|--|--|
| | | TO THE UNITED STATE | CS 04-341 | | | | | | | | |
| | DESIGNATED/ELECT | ED OFFICE (DO/EO/US) | U.S. ABPLICATION NO. (If known, see 37 CFR 1.5 | | | | | | | | |
| | CONCERNING A FILIN | 10/50052/ | | | | | | | | | |
| | ATIONAL APPLICATION NO. | PRIORITY DATE CLAIMED | | | | | | | | | |
| PCT/EP0 | | January 16, 2002 | | | | | | | | | |
| PIGMENT | TITLE OF INVENTION PIGMENT-CONTAINING GEL MASS BASED ON LIPIDS | | | | | | | | | | |
| APPLICANT(S) FOR DO/EO/US Christian Sprogar et al. | | | | | | | | | | | |
| Applicant herewith submits to the United States Designated/Elected Office (DO/EO/US) the following items and other information: | | | | | | | | | | | |
| 1. 🚺 Th | This is a FIRST submission of items concerning a filing under 35 U.S.C. 371. | | | | | | | | | | |
| | This is a SECOND or SUBSEQUENT submission of items concerning a filing under 35 U.S.C. 371. | | | | | | | | | | |
| | This is an express request to begin national examination procedures (35 U.S.C. 371(f)). The submission must include items (5), (6), (9) and (21) indicated below. | | | | | | | | | | |
| 4. 🗹 Th | The US has been elected (Article 31). | | | | | | | | | | |
| | A copy of the International Application as filed (35 U.S.C. 371(c)(2)) | | | | | | | | | | |
| a. h | | | | | | | | | | | |
| | b. has been communicated by the International Bureau. | | | | | | | | | | |
| | c. is not required, as the application was filed in the United States Receiving Office (RO/US). An English language translation of the International Application as filed (35 U.S.C. 371(c)(2)). | | | | | | | | | | |
| 0. ☑ Ai a. | is attached hereto. | ie International Application as Thea (3. | 5 U.S.C. 3/1(c)(2)). | | | | | | | | |
| a. b. | | tted under 35 U.S.C. 154(d)(4). | | | | | | | | | |
| 7. 🔲 Aı | - | ernational Application under PCT Artic | cle 19 (35 U.S.C. 371(c)(3)) | | | | | | | | |
| – a. | | ed only if not communicated by the Inte | | | | | | | | | |
| b. | <u> </u> | by the International Bureau. | | | | | | | | | |
| c. | 二 | | | | | | | | | | |
| d. | have not been made and w | ill not be made. | | | | | | | | | |
| 8. 🔲 Aı | n English language translation of th | ne amendments to the claims under PC | Г Article 19 (35 U.S.C. 371 (c)(3)). | | | | | | | | |
| 9. 🗸 Aı | n oath or declaration of the invento | r(s) (35 U.S.C. 371(c)(4)). | | | | | | | | | |
| | n English language translation of the ticle 36 (35 U.S.C. 371(c)(5)). | ne annexes of the International Prelimin | nary Examination Report under PCT | | | | | | | | |
| Items | 11 to 20 below concern document | t(s) or information included: | | | | | | | | | |
| 11. | An Information Disclosure Stateme | ent under 37 CFR 1.97 and 1.98. | | | | | | | | | |
| 12. | An assignment document for recording. A separate cover sheet in compliance with 37 CFR 3.28 and 3.31 is included. | | | | | | | | | | |
| 13. 🗸 | A preliminary amendment. | | | | | | | | | | |
| 14. | An Application Data Sheet under 37 CFR 1.76. | | | | | | | | | | |
| 15. | A substitute specification. | | | | | | | | | | |
| 16. | A power of attorney and/or change of address letter. | | | | | | | | | | |
| 17. | A computer-readable form of the sequence listing in accordance with PCT Rule 13ter.2 and 37 CFR 1.821 - 1.825. | | | | | | | | | | |
| 18. | A second copy of the published international application under 35 U.S.C. 154(d)(4). | | | | | | | | | | |
| 19. 🔲 | A second copy of the English language translation of the international application under 35 U.S.C. 154(d)(4). | | | | | | | | | | |
| 20. 🔲 0 | Other items or information: | deposited with the tan envelope addres | hereby certify that this correspondence is being United States Postal Service as Express Mail in ssed to: "Commissioner for Patents, P.O. Box VA-22313" on June 30, 2004. | | | | | | | | |
| | | Kachu | water | | | | | | | | |

page 1 of 2

| U.S. APPLICATION NOTIFICATION OF CARLES | | | INTERNATIONAL APPLICATION NO. PCT/EP03/00300 | | | ATTORNEY'S DOCKET NUMBER 04-341 | | |
|---|---|----------------------|--|------------------------|--------|---------------------------------|---------------|--|
| 21. The following | ing fees are subm | itted: | | | CA | LCULATIONS | PTO USE ONLY | |
| BASIC NATIONAL | • | | (1) - (5)): | | | | | |
| Neither internation | al preliminary exa | aminati | on fee (37 CFR 1.482) | | | | | |
| nor international se and International Se | arch fee (37 CFR | ` | | | | | | |
| | | - | | \$1080.00 | | | • | |
| International prelim USPTO but Interna | iinary examinatio itional Search Re | n fee (3 port pre | 37 CFR 1.482) not paid to pared by the EPO or JPO | \$920.00 | | • | | |
| International prelin | inary examinatio | n fee (3 | 37 CFR 1.482) not paid to | USPTO | | | | |
| but international se | arch fee (37 CFR | 1.445(| a)(2)) paid to ÚSPŤO | \$770.00 | | | | |
| International prelim but all claims did n | ninary examination ot satisfy provision | n fee (3 ons of P | 37 CFR 1.482) paid to US CT Article 33(1)-(4) | PTO \$730.00 | | | | |
| International prelim | ninary examinatio | n fee (3 | 37 CFR 1.482) paid to US | PTO | | | | |
| and all claims satis: | fied provisions of | PCT A | Article $33(1)$ - (4) | \$100.00 | | | | |
| ENTE | R APPROPRI | ATE | BASIC FEE AMO | UNT = | \$ 92 | 0.00 | , | |
| Surcharge of \$130.0 from the earliest clai | I for furnishing the med priority date | \$ 13 | 30.00 | | | | | |
| CLAIMS | NUMBER FILE | ED | NUMBER EXTRA | RATE | \$ | | | |
| Total claims | 32 - 20 | | 12 | x \$18.00 | \$ 2 | 16.00 | ··· | |
| Independent claims | 1 - 3 | = | | x \$86.00 | \$ | | | |
| MULTIPLE DEPEN | DENT CLAIM(S |) (if app | olicable) | + \$290.00 | \$ | | | |
| | TOT | TAL C | F ABOVE CALCU | | \$ 1 | ,266.00 | : | |
| Applicant claim are reduced by | s small entity stat | \$ | | | | | | |
| | | JBTOTAL = | \$ 1. | 266.00 | | | | |
| Processing fee of \$13 from the earliest clai | 30.00 for furnishimed priority date | \$ | | | | | | |
| | | NAL FEE = | \$ 1 | 266.00 | | | | |
| Fee for recording the | enclosed assignr | nent (3 | 7 CFR 1.21(h)). The assi | | \$ | 200.00 | - | |
| accompanied by an a | ppropriate cover | • | | | | | | |
| | | \$ 1, | 266.00 | | | | | |
| | | | | | | ount to be refunded: | \$ | |
| | | | | | | charged: | \$ | |
| a. A check in | the amount of \$ | 1.266 | 3.00 to cover th | e above fees is enclos | e d | | | |
| | | | | e above ices is cheros | cu. | | | |
| b. Please char | ge my Deposit Ac | count l | No. <u>02-0184</u> in | the amount of \$ | | to cover th | e above fees. | |
| A duplicate | copy of this shee | t is enc | losed. | | | | | |
| c. The Comm | issioner is hereby | author | ized to charge any addition. 02-0184 . A duplic | onal fees which may b | e requ | iired, or credit a | any | |
| <u></u> | | | | | | | | |
| | | | d. WARNING: Information of this form. Provide of the control of th | | | | | |
| | | | | | | | | |
| NOTE: Where an | appropriate tim- | e limit | under 37 CFR 1.495 has | not been met, a peti | tion | revive (37 Cl | FR 1.137 (a) | |
| | | | e the application to pend | | 1 | 7 | | |
| SEND ALL CORRESPO | ONDENCE TO: | | | | (| | | |
| Gregory P. LaPoin | | | (| SIGNATU | DE | | | |
| BACHMAN & LaPolli | | Crosses | P. LaPointe | | | | | |
| 900 Chapel Street | | | 11. 1 | Gregory Gregory | r. La | | | |
| New Haven, CT 06 | oo 10 | | 1 | NAME | | | | |
| Tel.: (203) 777-662 | 28 | | • | 28,395 | | | <u> </u> | |
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| | | | the same of the sa | | | | | |